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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/767,279

01/22/2001

Hawley Rising III

80398P342

**CONFIRMATION NO. 2018** 

**FORMALITIES LETTER** 

OC000000006016027\*

Archana B. Vittal
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Seventh Floor
12400 Wilshire Boulevard
Los Angeles, CA 90025-1026

JUN 0 4 2001

Date Mailed: 04/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

CS/C3/2001 SDENEOB1 00000033 09767279

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130.00 QP

y's Docket No.: 80398P342		Patent	
IN THE UNITED STATES P	ATENT AND T	RADEMA	ARK OFFICE
oplication of:	)		
Hawley K. Rising, III	) Exa	miner:	Unassigned
tion No.: 09/767,279	) Art	Unit:	2122
January 22, 2001	)		
OF USING A NEURAL NETV	WORK <sup>'</sup> )		
Box Missing Parts at Commissioner for Patents gton, D.C. 20231			
			APPLICATION
n response to the Notice to File N	Aissing Parts of	Application	n (Filing Date Granted)
April 27, 2001, please find enclos	ed:		
1) a duly executed Declaration	on and Power or	f Attorney	with respect to the
eferenced patent application;			
2) a check in the amount of	\$130.00 in payn	nent of the	surcharge of 37 C.F.R.
e); and			
a copy of the Notice to Fi	le Missing Parts	of Applica	ation;
FIRST CLASS C	ERTIFICATE OF N	MAILING	
ertify that this correspondence is being depcient postage in an envelope addressed to the 30, 2001	ne Assistant Commis Carla	sioner for Pate a Zavala	ents, Washington, D.C. 2023
icant of Person Mailing Correspondence	Date o	f Deposit	J-01
	IN THE UNITED STATES Proplication of:  Hawley K. Rising, III  tion No.: 09/767,279  January 22, 2001  A METHOD AND APPARAT OF USING A NEURAL NETW TO TRAIN A NEURAL NETW TO TRAIN A NEURAL NETW TO TRAIN A NEURAL NETW (FILING DATE)  RESPONSE TO NOTICE TO FILE (FILING DATE)  or response to the Notice to File Mapril 27, 2001, please find enclosed and a duly executed Declaration (Propension of the Notice to File (Pro	IN THE UNITED STATES PATENT AND Toplication of:    Description of:	IN THE UNITED STATES PATENT AND TRADEMARPHICATION OF CONTROL OF CO

09/767,279 - 1 -

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 5 30 2001

Archana B. Vittal Reg. No. 45,182

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8300

PTO/SB/17(11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE red to respond to a collection of information unless it displays a valid OMB control number.

<u></u>	972	AA					
FEE TRANSMITTAL FOR FY 2001							
				TOTAL AMOUNT OF PAYMENT (\$)			
Complete if Known:  Application No. 09767,279  Filing Date January 22, 2001							
First Named Inventor Hawley K. Rising, III  Group Art Unit 2122							
Examiner Name Unassigned							
	ey Docket		80398				
METHOD OF PAYMENT (check one)  1. [ X ] The Commissioner is hereby authorized to charge indicated fees and credit							
any over payments to:							
	Deposit Account Number <u>02-2666</u> Deposit Account Name						
	[ X ] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17						
[ ] Applicant claims small entity status. See 37 CFR 1.27							
2.	<u> </u>	Payme	nt Enclo	osed: X Check Credit Card Money Order Other			
FEE (	CALCUL	ATION					
1. BASIC FILING FEE							
l arge	Entity	Small I	Entity				
Fee	Fee	Fee	Fee				
Code	(\$)	Code	(\$)	Fee Description Fee Paid			
101	710	201	355	Utility application filing fee			
106	320	206	160	Design application filing fee			
107	490	207	245	Plant filing fee			
108	710	208	355	Reissue filing fee			
114	150	214	75	Provisional application filing fee			
				SUBTOTAL (1) \$0			
2.	<b>EXTRA</b>	<u>CLAIM I</u>	<u>FEES</u>	Fee from			
				Extra Claims <u>below</u> <u>Fee Paid</u>			
Total	Claims			- 20** = X =			
i e		Claims		-3** = X =			
Independent Claims = 3** = X = Multiple Dependent = =							
**Or number previously paid, if greater; For Reissues, see below.							
Large Entity Small Entity							
Fee	Fee	Fee	Fee				
Code	(\$)	Code	(\$)	Fee Description			
103	(ಳ) 18	203	( <del>4</del> )	Claims in excess of 20			
103	80	202	40	Independent claims in excess of 3			
104	270	204	135	Multiple dependent claim, if not paid			
109	80	209	40	**Reissue independent claims over original patent			
110	18	210	9	**Reissue claims in excess of 20 and over original patent			
			-	SUBTOTAL (2) \$ 0			

## FEE CALCULATION (continued) 3. **ADDITIONAL FEES** Large Entity Small Entity Fee Fee Fee Fee Fee Paid Code Code **Fee Description** (\$) (\$) Surcharge - late filing fee or oath 130.00 105 130 205 65 50 25 Surcharge - late provisional filing fee 127 227 or cover sheet 130 139 130 Non-English specification 139 For filing a request for ex parte reexamination 147 2,520 147 2,520 Requesting publication of SIR prior to 112 920\* 112 920\* **Examiner action** 1.840\* Requesting publication of SIR after 113 1,840\* 113 **Examiner action** 55 Extension for reply within first month 115 110 215 216 195 Extension for reply within second month 116 390 445 Extension for reply within third month 890 217 117 218 695 Extension for reply within fourth month 118 1,390 128 1.890 228 945 Extension for reply within fifth month 119 310 219 155 **Notice of Appeal** 120 310 220 155 Filing a brief in support of an appeal Request for oral hearing 121 270 221 135 Petition to institute a public use proceeding 138 1,510 138 1.510 55 Petition to revive - unavoidable 140 110 240 Petition to revive - unintentional 620 141 1,240 241 Utility issue fee (or reissue) 142 1.240 242 620 Design issue fee 143 440 243 220 Plant issue fee 144 600 244 300 130 130 **Petitions to the Commissioner** 122 122 123 50 123 50 Processing fee under 37 CFR 1.17(q) 180 **Submission of Information Disclosure Stmt** 126 180 126 Recording each patent assignment per 581 581 40 40 property (times number of properties) 355 For filing a submission after final rejection 710 146 246 (see 37 CFR 1.129(a)) 355 For each additional invention to be examined 149 710 249 (see 37 CFR 1.129(b)) 710 279 355 Request for Continued Examination (RCE) 179 169 900 169 900 Request for expedited examination of a design application 195 300 195 300 Publication fee for early, voluntary, or normal publication 196 300 Publication fee for republication 300 196 Request for voluntary publication or republication 130 194 130 194 Processing fee under 37 CFR 1.17(i) 098 098 130 130 Acceptance of unintentionally delayed claim for priority \_ 091 091 1,240 1,240 Other fee (specify) SUBTOTAL (3) \$ 130.00 Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed Name: Archana B. Vitta Signature: \_\_\_\_\_\_\_ Date: **Telephone Number:** (408) 720-8300 Reg. Number: <u>45,182</u>

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